

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Genetic Technologies, Ltd.

Application No./Patent No.: 10/005,626 Filed/Issue Date: December 3, 2001

Entitled: Intron Sequence Analysis Method for Detection of Adjacent and Remote Locus Alleles as Haplotypes

Genetic Technologies, Ltd., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. [] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Malcolm Simons To: GeneType AG
The document was recorded in the United States Patent and Trademark Office at Reel 5423, Frame 554, or for which a copy thereof is attached.

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☒ Additional documents in the chain of title are attached.

- [] Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

November 7, 2002

Date

Mervyn Jacobson

Typed or printed name

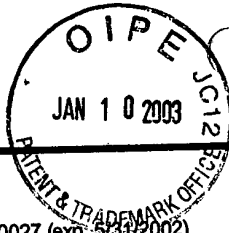

Signature

Executive Chairman

Title

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

GeneType A.G.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: October 31, 2002

2. Name and address of receiving party(ies)

Name: Genetic Technologies Limited

Internal Address: _____

Street Address: 60-66 Hanover Street

Fitzroy, Victoria 3065, Australia

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 10/005,626

Filing Date: December 3, 2001

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Richard A. Nakashima

Internal Address: _____

Street Address: Blakely Sokoloff Taylor & Zafman

12400 Wilshire Boulevard, Seventh Floor

City: Los Angeles State: CA Zip: 90025-1030

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number:

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9. Signature.

Richard A. Nakashima, Reg. No. 42,023
Name of Person Signing

Signature

November 6, 2002
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ASSIGNMENT OF PATENT APPLICATION

Docket Number:
005493.P7002

Whereas, GeneType A.G. of Zug, Switzerland, hereinafter referred to as "assignor" owns the entire right, title and interest in a United States Patent Application for an improvement in INTRON SEQUENCE ANALYSIS METHOD FOR DETECTION OF ADJACENT AND REMOTE LOCUS ALLELES AS HAPLOTYPES, Patent Application No. 10/005,626, filing date December 3, 2001; and,

Whereas, Genetic Technologies Limited, of Fitzroy, Australia, hereinafter referred to as "assignee" whose mailing address is 60-66 Hanover Street, Fitzroy, Victoria 3065 City of Fitzroy and Country of Australia is desirous of acquiring the entire right, title and interest in the same;

In consideration of the sum of one dollar (\$1.00), the receipt whereof is acknowledged, and other good and valuable consideration, the assignor, by these presents does sell, assign and transfer unto said assignee the entire right, title and interest in and to the said Patent aforesaid; effective as of January 1, 2002; the same to be held and enjoyed by the said assignee for its own use and benefit, and for its legal representatives and assigns, to the full end of the term for which said Patent is granted, as fully and entirely as the same would have been held by assignor had this assignment and sale not been made.

Executed this 31st day of October, 2002, at Denver, Colorado, U.S.A.

(Signature)

Chairman, Board of Directors, GeneType A.G.

State of Colorado)County of Denver) ss:Before me personally appeared said Mervyn Jacobson

and acknowledge the foregoing instrument to be his free act and deed this 31st day of October, 2002.

SEAL

J. Roche
Notary Public
My Commission Expires
2/5/05



PTO/SB92 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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